

# Policy on sharing intellectual property rights with industry

October 20, 2011

(1) Application for joint patent  
(2) Implementation

If partner  
wishes

## Corporate partner retains exclusive license (exclusive rights for a limited period of time) .

1. Partner pays all patent application costs.
2. Implementation agreement with the corporation provides for compensation to RIKEN as appropriate, in the form of a lump-sum payment along with a running royalty or other method.

Corporate partner suspends right to preferred implementation (granting of priority licensing rights for a limited period of time).

1. Partner pays all patent application costs.
2. Terms for priority licensing rights set forth in joint patent application agreement.

## Corporate partner opts for non-exclusive implementation.

1. Patent application costs shared by partner and RIKEN.
2. Transfer of rights to a third party requires agreement between RIKEN and the partner unless there are legitimate reasons. If there is no agreement, all patent application costs must, in principle, be paid by the partner.
3. Implementation agreement with the partner provides for some form of compensation to RIKEN as appropriate.

Note: If the partner has paid to RIKEN an annual research fee of 8 million yen or more (option):

1. Patent application costs shared by partner and RIKEN.
2. Both RIKEN and the partner are free to transfer rights to a third party.
3. Implementation agreement with the corporate partner does not, in principle, require payment of compensation to RIKEN.